Due to the U.S. Department of Education’s decision to not extend Washington’s ESEA Flexibility Request (Waiver), the state must transition back to Adequate Yearly Progress (AYP) during the 2014–15 school year.

This bulletin explains the changes to the state’s adequate yearly progress (AYP) policies that were approved by the U.S. Department of Education (ED) to be used to calculate the 2014 AYP results. It also provides information about how to view your 2014 AYP results, how to take action in response to these results, and when the results will be made public. The attachments to this bulletin describe the 2014 changes to the federally required accountability workbook, which describes our AYP policy and process, the AYP appeals process and the sanctions that occur when schools or districts fall into improvement, corrective action, or restructuring status. Action may be required in response to some of these changes and the initial AYP results.
Changes in AYP Policies

The following outlined changes were submitted to ED on June 10, 2014. The state is awaiting approval. The Office of Superintendent of Public Instruction (OSPI) communicates with a stakeholder/advisory group to determine and share the annual policy changes that we submit to ED. The policies will continue to evolve as we respond to changes at the federal level.

The following are the policy changes for 2014 (pending approval):

- Calculation for 2014 AYP: To determine the AYP status of each school and district, we will use assessment and other indicator data from 2010–11 and 2013–14. For schools participating in the 2014 Smarter Balanced field test, we will use 2010–11 and 2012–13 assessment data to determine AYP status.
- Calculation for Safe Harbor: To calculate Safe Harbor for 2013–14, data are compared to 2010–11 data (the last year that AYP was calculated). Due to the two year gap and participation in the Smarter Balanced field test, a non-field test site will be considered to have met Safe Harbor if the reduction in percent of students not meeting standard decreases by 27 percent since 2010–11 (the equivalent of a 10 percent reduction per year), and field test sites would need a 19 percent reduction since 2010–11 (since 2012–13 proficiency figures are rolling forward for those sites, this is also the equivalent of 10 percent reduction per year).
- Double-Testing Flexibility and Determination Flexibility Waiver: The state submitted and received approval, on February 12, 2014, on the Double-Testing Flexibility and Determination Flexibility Waiver. This waiver allowed the state to field test in 2013–14 assessments in mathematics and reading/language arts aligned to college- and career-ready standards developed by the Smarter Balanced Assessment Consortium (SBAC) in lieu of the state’s assessments in Grades 3 through 8 and high school.

Other changes for 2014:

- The state uniform bar for 2014 raises to 100 percent proficiency for elementary, middle, and high school reading and mathematics (see Attachment E).

- Participation Rate: A participation rate of 95 percent or higher on the state assessments is still required. As previously calculated (prior to 2014), a participant was defined as any student that was not exempted due to New Non-English Proficient (NNEP) status or medical emergency or was not coded “Absent – Excused” for a particular test. The consequence of this calculation was that refusing to test or simply not returning a booklet for a student would make the student a “participant” for AYP. Going forward, the participation metric will be calculated based on the number of students assessed divided by the number of students expected to test. Students testing out of grade level, submitting a partial test, or having a complete test invalidated, will also be considered participants for AYP as they would have had to show up for testing and complete at least some portion of the test. Changes are highlighted in the table below. The participation rate for Smarter Balanced field test sites will be computed using the same formula based on completed field tests in English language arts (ELA) and math with the applicable field test non-participation and exemption codes (NB, ME, NN, NE, PE) being updatable during the Record Reconciliation window.
New vs. Old Participation Calculation

<table>
<thead>
<tr>
<th>Attempt Code</th>
<th>NEW PARTICIPATION CALCULATION</th>
<th>OLD PARTICIPATION CALCULATION</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Include in AYP Participation Numerator (counts as participant)</td>
<td>Include in AYP Participation Denominator (students expected to test)</td>
</tr>
<tr>
<td>TS - Tested</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>IC - Incomplete</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>IV - Invalid</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>OG - Off Grade</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>IS - Insufficient</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>PP - Previously Passed</td>
<td>Y</td>
<td>Y</td>
</tr>
<tr>
<td>AX - Absent – Excused**</td>
<td>N</td>
<td>Y</td>
</tr>
<tr>
<td>AU - Absent Unexcused**</td>
<td>N</td>
<td>Y</td>
</tr>
<tr>
<td>BL - Blank Booklet**</td>
<td>N</td>
<td>Y</td>
</tr>
<tr>
<td>NB - No Booklet Returned**</td>
<td>N</td>
<td>Y</td>
</tr>
<tr>
<td>NT – Not Tested (Portfolio Only)**</td>
<td>N</td>
<td>Y</td>
</tr>
<tr>
<td>RF – Refused**</td>
<td>N</td>
<td>Y</td>
</tr>
<tr>
<td>ME - Medically Exempt</td>
<td>N</td>
<td>N</td>
</tr>
<tr>
<td>NN - New Non-English Proficient (NNEP)*</td>
<td>N*</td>
<td>N*</td>
</tr>
<tr>
<td>NE - Not Enrolled</td>
<td>N</td>
<td>N</td>
</tr>
<tr>
<td>PE - Partially Enrolled</td>
<td>N</td>
<td>N</td>
</tr>
</tbody>
</table>

**NNEP students are not required to attempt the reading test but must attempt the mathematics test. NNEP students that do not attempt the mathematics test are considered non-participants and count in the denominator of participation for mathematics.

**Field test students can be tested (participant), exempted (excluded from denominator), or non-participant (not tested, not exempted from participating), and as all non-participant attempts are aggregated the same, there is no need to differentiate between them in Record Reconciliation. Not participant attempts should remain NB-No Booklet if no exemption applies. There will be no proficiency data from the field test - field test results are not factored into AYP proficiency and will not be reported on Washington State Report Card.

The AYP accountability workbook is posted at http://www.k12.wa.us/ESEA/ApplicationsReports.aspx.
Consequences of Not Making AYP

For information about the consequences of not making AYP and the sanctions that occur when a district or school falls into improvement, see Attachment B or contact Gayle Pauley, Director, Title I/LAP and Consolidated Program Review at (360) 725-6100 or by email at gayle.pauley@k12.wa.us.

Reviewing AYP Results

Possible Action Required: If you have not already done so, indicate the grade span of the schools in the Organization Information section of the School Profile application of EDS.

Preliminary AYP proficiency, participation, and other indicator data and results will be made available for viewing on a confidential website in EDS at http://eds.ospi.k12.wa.us on July 28, 2014. Your District Data Security Manager can provide access to this system. A list of Data Security Managers is available at https://eds.ospi.k12.wa.us/SecurityManagerList.aspx. To get help accessing or using EDS, contact OSPI Customer Support at customersupport@k12.wa.us or call (360) 725-6371 or (800) 725-4311.

The rules that determine whether a district or school falls into “improvement” or advances to the next “step” of sanctions are quite complex. Thus, in the third week of August, OSPI will notify a district if it or any of its schools fall into any step of improvement. The notification will include an explanation of what sanctions apply and the reason(s) for being placed in improvement and offer help with possible appeals. The deadline for filing an appeal is by close of business on Friday, September 12, but we encourage you to file appeals as soon as possible. See Attachment C for more information about the appeals process.

Apart from the appeals process, you have one opportunity to make record changes if you find errors in the student data before AYP preliminary results are released to the public. There will be another opportunity to make record changes, but it will be after the preliminary results have been released to the public.

Enrollment data for AYP are derived from the Comprehensive Education Data and Research System (CEDARS) submissions. Pay special attention to the data for students who were not tested or exempted and the dates of enrollment and exit, which determine if a student is continuously enrolled.

For correcting student records, login to the Washington Assessment Management System (WAMS) and make the necessary changes under the Reconciliation tab. WAMS Record Reconciliation will be open July 28 through August 7, 2014. A second window for changes is scheduled for August 26 through September 9, 2014.

IMPORTANT: Edits made from July 28 through August 7, 2014, and appeals received and approved between August 1 and August 8, 2014, will be reflected in the initial list of districts and schools that are in “improvement” status. This list is targeted for release on or near August 27. Edits made during the second record reconciliation window (August 26 through September 9) and approved appeals will be reflected in the final AYP results posted to the OSPI School Report Card in late September.
Elementary and middle school “Other Indicator” AYP results are determined using the 2013–14 school year unexcused absence rates, as described in Bulletin 014-14 located at http://www.k12.wa.us/BulletinsMemos/bulletins2014.aspx. The Unexcused Absence rates can be reviewed by logging into the EDS system and accessing the Attendance and Weapons application from the “My Applications” list.

High school “Other Indicator” AYP results are determined using the 2012–13 adjusted 5-year cohort graduation rates as reported in the Adjusted Cohort Graduation Rate Report (P210), as described in Bulletin 052-13, located at http://www.k12.wa.us/BulletinsMemos/bulletins2013.aspx. The 2012–13 adjusted 5-year cohort graduation data is available online at http://www.k12.wa.us/DataAdmin/default.aspx#dropoutgrad, or it can also be viewed by logging into the EDS system and accessing the Adjusted Cohort Graduation Application (P210) from the “My Applications” list. The report can be found within the “Cohort Graduation/Dropout Data 2012–13 & Forward” tab under Cohort Grad Rates.

If you find errors in either your 2013–14 unexcused absence and/or 2012–13 adjusted 5-year cohort graduation rates contact Lisa Ireland at (360) 725-6358 or by email at lisa.ireland@k12.wa.us.

Release of Improvement Lists and AYP Results – Anticipated Timeline

On July 29, 2014, preliminary AYP results for schools and districts will be posted on a confidential website. This information will include preliminary list of schools and districts identified for improvement.

As a general rule districts must meet the Title I requirement of providing information on public school choice to parents 14 days before school starts for all Title I schools that are currently in Steps 1–5 [Elementary and Secondary Education Act (ESEA) section 1116(b)(1)(E)(i) and 34 CFR 200.37(b)(4)(vi)]. The letter must be approved by OSPI before it can be sent to parents. Please submit letter in writing, via email, by Friday, August 8, to:

Gayle Pauley, Director
Title I/LAP and Consolidated Program Review
gayle.pauley@k12.wa.us

Sample letters are on OSPI’s website at http://www.k12.wa.us/titleI/sampleletters.aspx.

Complete AYP results will be posted on the OSPI website on or before September 25, after August state assessment retake scores have been received and all appeals have been processed. For more detailed information about AYP policies, see the AYP Questions and Answers document at http://www.k12.wa.us/titleI/AYP.aspx.
If you have questions regarding AYP, please contact Gil Mendoza, Ed.D., Assistant Superintendent of Special Programs and Federal Accountability at (360) 725-6170 or email gil.mendoza@k12.wa.us or Gayle Pauley, Director of Title I/LAP and Consolidated Program Review at (360) 725-6100 or email gayle.pauley@k12.wa.us. The agency TTY number is (360) 664-3631.

K–12 EDUCATION

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SPECIAL PROGRAMS AND FEDERAL ACCOUNTABILITY

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Assistant Superintendent

Gayle Pauley, Director
Title I/LAP & Consolidated Program Review

RD:GM:GP:jp

Attachment A: AYP Accountability Workbook Update
Attachment B: AYP Sanctions
Attachment C: AYP Appeals Process
Attachment D: 2014 State Assessment and AYP Release Timeline
Attachment E: State Uniform Bar

OSPI provides equal access to all programs and services without discrimination based on sex, race, creed, religion, color, national origin, age, honorably discharged veteran or military status, sexual orientation including gender expression or identity, the presence of any sensory, mental, or physical disability, or the use of a trained dog guide or service animal by a person with a disability. Questions and complaints of alleged discrimination should be directed to the Equity and Civil Rights Director at (360) 725-6162 or P.O. Box 47200 Olympia, WA 98504-7200.